### NORPLEX-OAK INDIA LIMITED

Registered Office 31, Netaji Subhas Road, Kolkata 700001 CIN: L25209WB1983PLC036991

Email: norplexoak@gmail.com

Phone: 033 6625 1500 Fax: 033 2248 0140

1st January, 2020

The Secretary,
The Calcutta Stock Exchange Ltd,
7, Lyons Range,
Kolkata – 700001

Dear Sir,

# <u>Sub:- Compliance Report on Corporate Governance in Annexure I for the quarter and nine month ended 31st December, 2019</u>

In compliance with Regulation 27(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, we are enclosing herewith Compliance Report on Corporate Governance on quarterly basis for the quarter and nine months ended 31<sup>st</sup> December, 2019 as prescribed in "Annexure – I" of the afore-mentioned Regulations.

Please take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,

For NORPLEX OAK INDIA LIMITED

Director

Pirector.

Enclo: As above

#### Annexure - I

#### Compliance Report on Corporate Governance for the quarter ended 31st December, 2019

- 1. Name of Listed Entity Norplex Oak India Limited
- 2. Quarter ending 31st December, 2019

I.	Comp	osition of	Board of Dir	ectors		b.					
Title (I / Mrs.)	Name of the Director	PAN \$ & DIN	Category Of (Chai Rperson/ Executive/ Non-Execu Tive/Indep Endent/ Nominee)&	Initial Date Of Appt.	Date of Re-Appt.	Date Of Cess ation	Tenure	Date Of Birth	No. of Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Reg 17A(1)	No. of Indepen dent Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Proviso Reg 17A(1)]	No. of Memberships in Audit/ Stake holders Committee (s) including this listed entity [Refer Regulatio 26(1) of Listing Regulatio
Mr.	Hemant Goenka	DIN – 02138953	Non- Executive Director	30.04. 2008	-	-	N.A.	81.04.31	2	-	2
Mr.	Arun Mukherjee	DIN – 01626598	Non- Executive Independent Director	30.04. 2008	N.A	-	N.A	31-12-54	2	2	2
Ms.	Ankita Banerjee	DIN – 07276391	Non- Executive Independent Director	01.04. 2015	N.A	-	31-12-4	%·10-89	2	2	2
			egular Chairpe				imaatan ==	CEO	NO		
		wnetner C	hairperson is re	erated to	me Man	aging L	irector or	CEU -	NU		
		\$PAN of a	ny director wou	ıld not be	e display	ed on t	he website	of Stoc	k Exchange		-
		category w	y of directors m rite all categori	es separa	ating the	m with	hyphen.				
	£		ed only for Inde Board of direct								ependent di



**Note:-** Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.

Hen-st 60. 6. TO

Director,

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Name of the Committee	Whether Regular chairperson appointed	Name of the Committee Members	Category (Chairperson/Executive/ Non- Executive/Independent/Nominee)	Date of Appointment	Date Cessation
1. Audit Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	<b>-</b> .
	×.	Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
2. Nomination & Remuneration Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
3. Stakeholders Relationship Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	

<sup>&</sup>amp; Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.

#### III. Meeting of the Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of Independent Directors Present*	Maximum gap between any two consecutive (in number of days)
28 <sup>th</sup> June, 2019 26 <sup>th</sup> July, 2019	25 <sup>th</sup> October, 2019	Yes	3	2	26 <sup>th</sup> Jul 2019 to 25 October, 2019 = 91 days

MORPHER OAK INDIA LTD.

Date(s) of Meeting (if any) of committee in the requirement of Quorum met' (details)  Audit Committee:-  25th October, 2019  Yes, quorum was present.  Nomination and Remuneration Committee:-  Nomination and Remuneration Committee:-  Nomination and Remuneration Committee:-  Number of Independent Directors present*  Number of Independent Directors present.  Stakeholders Relationship Committee:-  Stakeholders Relationship Committee:-  Date(s) of Meeting (if (details))  Yes, quorum was present.  Stakeholders Relationship Committee:-  Date(s) of Meeting (if Quorum was present.  Yes, quorum was present.  Yes, quorum was present.  Yes, quorum was present.  Yes, quorum was present.	Date(s) of meeting of the committee in	
any) of committee in the relevant quarter of Quorum met' (details)    Audit Committee:-	meeting of the	
Nomination and Remuneration Committee:-  Nomination and Remuneration Committee:-  Number of Independent Directors present*  Yes, quorum was present.  Stakeholders Relationship Committee:-  Stakeholders Relationship Committee:-  Number of Directors present*  Yes, quorum was present.  Number of Directors present*  Stakeholders Relationship Committee:-  Number of Directors present*  Yes, quorum met* (details)  Number of Independent Directors present*  Number of Pirectors present*  Number of Directors present*  Yes, quorum met* (details)	the previous quarter	Maximum gap betwee any two consecutive meetings number days*
Nomination and Remuneration Committee:-  Date(s) of Meeting (if any) of committee in the relevant quarter  Yes, quorum was present.  Stakeholders Relationship Committee:-  Stakeholders Relationship Committee:-  Date(s) of Meeting (if any) of committee in the relevant quarter  Whether requirement of Quorum met* (details)  Number of Independent Directors Present*  Number of Independent Directors Present*		
Date(s) of Meeting (if any) of committee in the relevant quarter  Yes, quorum was present.  Stakeholders Relationship Committee:-  Date(s) of Meeting (if any) of committee in the relevant quarter  Whether requirement of Quorum met* (details)  Number of Directors Present*  Stakeholders Relationship Committee:-  Number of Directors Present*  Number of Directors Present*	26 <sup>th</sup> July, 2019	26 <sup>th</sup> Jul 2019 to 2: October, 2019 = 91 days
any) of committee in the requirement of Quorum met* (details)  - Yes, quorum was present.  Stakeholders Relationship Committee:-  Date(s) of Meeting (if any) of committee in the requirement of Quorum met* (details)  Number of Directors Present*		
Stakeholders Relationship Committee:-  Date(s) of Meeting (if any) of committee in the relevant quarter  Of Quorum met* (details)  Yes, quorum was present.  Yes, quorum was present.	Date(s) of meeting of the committee in the previous quarter	Maximum gap betwee any to consecutive meetings number days*
Date(s) of Meeting (if any) of committee in the relevant quarter  Whether requirement of Quorum met* (details)  Number of Number of Directors present*  Present*  Yes, quorum was present.		N.A.
any) of committee in the requirement of Quorum met* (details)  The relevant quarter of Quorum met* (details)  The requirement of Quorum present*  Present*  Present*		
was present.	Date(s) of meeting of the committee in the previous quarter	Maximum gap betwee any to consecutive meetings number days*
		N.A.
* This information has to be mandatorily be given for audit 3committee, for rest of the commit optional.  **to be filled in only for the current quarter meetings.	tees giving this info	ormation is
V. Related Party Transactions		
Subject  Whether prior approval of audit committee obtained	Compliance St / NA)	tatus (Yes / I

Henry lea. 6. Director

Whether shareholder approval obtained for material RPT	N.A.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the	N.A.
Audit Committee	

#### Note:

- 1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- 2. If status is "No" details of non-compliance may be given here.

#### VI. Affirmations

- The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulation 2015.
- 2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & Remuneration Committee
  - c. Stakeholders Relationship Committee
- 3. The Committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.
- 4. The Meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This report and/or the report submitted in the previous quarter has been placed before Board of Directors. A comments/observations/advice of the board of directors may be mentioned here.

For Norplex Oak India Limited

PROBPLEX CORK INDIA LTD.

**Authorized Signatory** 

Director.

# NORPLEX-OAK INDIA LIMITED

Registered Office 31, Netaji Subhas Road, Kolkata 700001

CIN: L25209WB1983PLC036991

Email: norplexoak@gmail.com

Phone: 033 6625 1500 Fax: 033 2248 0140

2<sup>nd</sup> April, 2020

The Secretary,
The Calcutta Stock Exchange Ltd,
7, Lyons Range,
Kolkata – 700001

Dear Sir,

# Sub:- Compliance Report on Corporate Governance in Annexure I for the quarter 31st March, 2020

In compliance with Regulation 27(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, we are enclosing herewith Compliance Report on Corporate Governance on quarterly basis for the quarter ended 31<sup>st</sup> March, 2020 as prescribed in "Annexure – I" of the aforementioned Regulations.

Please take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,

For NORPLEX OAK INDIA LIMITED

Authorized Signatorty Director.

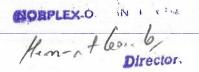
Enclo: As above

#### Annexure - I

## Compliance Report on Corporate Governance for the quarter ended 31st March, 2020

- 1. Name of Listed Entity Norplex Oak India Limited
- 2. Quarter ending 31st March, 2020

I.	Comp	osition of l	Board of Dir	ectors							
Title (I / Mrs.)	Name of the Director	PAN\$ & DIN	Category Of (Chai Rperson/ Executive/ Non-Execu Tive/Indep Endent/ Nominee)&	Initial Date Of Appt.	Date of Re-Appt.	Date Of Cess ation	Tenure	Date Of Birth	No. of Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Reg 17A(1)	No. of Indepen dent Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Proviso Reg 17A(1)]	No. of Member ships in Audit/ Stake holders Commitee (s) includir this liste entity [Refer Regulat 26(1) or Listing Regulat
Mr.	Hemant Goenka	DIN - 02138953	Non- Executive Director	30.04. 2008	_	-	N.A.	11-01-71	2	-	2
Mr.	Arun Mukherjee	DIN – 01626598	Non- Executive Independent Director	30.04. 2008	N.A	-	N.A	31-12-57	2	2	2
Ms.	Ankita Banerjee	DIN – 07276391	Non- Executive Independent Director	01.04. 2015	N.A	-	31-03-20		2	2	2
		Whether R Whether C	egular Chairpe Chairperson is re	rson app elated to	ointed – the Mar	YES naging [	Director or	CEO –	NO		



		\$PAN of any director would not be displayed on the website of Stock Exchange
14	22	& Category of directors means executive/non-executive/independent/Nominee. if a director fits into mor category write all categories separating them with hyphen.
		* to be filled only for Independent Director. Tenure would mean total period from which Independent di serving on Board of directors of the listed entity in continuity without any cooling off period.

**Note:-** Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.



Name of the Committee	Whether Regular chairperson appointed	Name of the Committee Members	Category (Chairperson/Executive/ Non- Executive/Independent/Nominee)	Date of Appointment	Date Cessation
1. Audit Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
2. Nomination & Remuneration Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
3. Stakeholders Relationship Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	

<sup>&</sup>amp; Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.

#### III. Meeting of the Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of Independent Directors Present*	Maximum gap betwee any two consecutive (in numb of days)
25 <sup>th</sup> October, 2019	31st January, 2020	Yes	3	2	25 <sup>th</sup> Octob 2019 to 3 January, 20 = 98 days
1					

IV. Meetings of	Committees				
Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap betwee any to consecutive meetings number days*
		Audi	t Committee:-		
31st January, 2020	Yes, quorum was present.	3	2	25 <sup>th</sup> October, 2019	25 <sup>th</sup> Octob 2019 to 3 January, 20 = 98 days
	Nom	ination and I	Remuneration Committee:-		
Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap betwee any to consecutive meetings number days*
	Yes, quorum was present.			-	N.A.
	St	akeholders R	elationship Committee:-		
Date(s) of Meeting (if any) of committee in the relevant quarter		Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap betwee any to consecutive meetings number days*
	Yes, quorum was present.	1		1	N.A.
* This information has to b optional. **to be filled in only for th			t 3committee, for rest of the com	mittees giving this inf	ormation is
V. Related Par	ty Transactio			Compliance St	tatus (Vas /
		Subject		/NA)	atus (Tes / I
Whether prior approval of a Whether shareholder appro				Yes N.A.	

\* to be filled in only for the current quarter meetings

Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee

N.A.

#### Note:

- 1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- 2. If status is "No" details of non-compliance may be given here.

#### VI. Affirmations

- 1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulation 2015.
- 2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & Remuneration Committee
  - Stakeholders Relationship Committee
- 3. The Committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.
- 4. The Meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This report and/or the report submitted in the previous quarter has been placed before Board of Directors. At comments/observations/advice of the board of directors may be mentioned here.

For Norplex Oak India Limited

MORPLE YOAK INDIA LTD.

**Authorized Signatory** 

Director.

# NORPLEX-OAK INDIA LIMITED

Registered Office 31, Netaji Subhas Road, Kolkata 700001

CIN: L25209WB1983PLC036991

Email: norplexoak@gmail.com

Phone: 033 6625 1500 Fax: 033 2248 0140

1st July, 2020

The Secretary,
The Calcutta Stock Exchange Ltd,
7, Lyons Range,
Kolkata – 700001

Dear Sir,

# Sub:- Compliance Report on Corporate Governance in Annexure I for the quarter ended 30th June, 2020

In compliance with Regulation 27(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, we are enclosing herewith Compliance Report on Corporate Governance on quarterly basis for the quarter ended 30<sup>th</sup> June, 2020 as prescribed in "Annexure – I" of the aforementioned Regulations.

Please take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,

For NORPLEX OAK LIMITED

Authorized Signatorylrector.

Enclo: As above

#### Annexure - I

## Compliance Report on Corporate Governance for the quarter ended 30th June, 2020

- 1. Name of Listed Entity Norplex Oak India Limited
- 2. Quarter ending 30th June, 2020

Title (I	Name	PAN\$	Category	Initial	Date	Date	Tenure	Date	No. of	No. of	No. of
/ nue (1	of the	& DIN	Of (Chai	Date	of	Of	Tonaro	Of	Director	Indepen	Membe
Mrs.)	Director		Rperson/	Of	Re-	Cess		Birth	Ships in	dent	ships in
		-	Executive/	Appt.	Appt.	ation			Listed	Director	Audit/
			Non-Execu						Entities	Ships in	Stake holders
			Tive/Indep						Incl. This	Listed Entities	Commi
			Endent/ Nominee)&						Listed	Incl.	ttee (s)
			140mmee)						Entity	This	includir
									[In	Listed	this liste
									Reference	Entity	entity
			57 THE F.					2	To Reg 17A(1)	[In Reference	[Refer Regulat
									Keg I/A(I	To	26(1) of
										Proviso	Listing
								-		Reg	Regulat
										17A(1)]	
Mr.	Hemant	DIN -	Non-	30.04.	-	-	N.A.	01-04-74	2	-	2
	Goenka	02138953	Executive Director	2008							
Mr.	Arun	DIN -	Non-	30.04.	N.A	-	N.A	31-12-54	2	2	2
	Mukherjee	01626598	Executive Independent	2008							
			Director				1 = 1				
				01.704	N. A			26.10.89	2	2	2
Ms.	Ankita	DIN – 07276391	Non- Executive	01.04.	N.A	-	01-04-12	10.01	2	2	2
	Banerjee	0/2/0391	Independent	2013			30.06.2				11
			Director				3	1 4 6			
		Whether R	egular Chairpe	rson app	ointed –	YES					
		Whether C	hairperson is re	elated to	the Man	aging D	irector or	CEO –	NO		
		\$PAN of a	ny director wou	ıld not b	e display	ed on t	he website	e of Stoc	k Exchange		
		& Category w	y of directors marite all categor	neans exe ies separ	ecutive/r ating the	on-exe	cutive/ind hyphen.	ependen	t/Nominee. i	if a director f	its into m
		* to be fille	ed only for Inde	nendent	Directo	r Tenu	re would t	mean tot	al neriod fro	m which Ind	enender

**Note:-** Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.

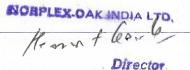
Henry to Director.

Name of the Committee Regular chairpers appointed		Name of the Committee Members	Category (Chairperson/Executive/ Non- Executive/Independent/Nominee)	Date of Appointment	Date Cessation
1. Audit Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	_
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
2. Nomination & Remuneration Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
3. Stakeholders Relationship Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	

<sup>&</sup>amp; Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.

#### III. Meeting of the Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of Independent Directors Present*	Maximum gap betwee any two consecutive (in numb of days)
31st January, 2020	15 <sup>th</sup> May, 2020	Yes	3	2	31st Janua 2020 to 1 May, 2020 = 104 days



to be filled in only for th					
IV. Meetings of	Committees				
Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap betwee any tree consecutive meetings number days*
	¥.	Audi	t Committee:-		
15 <sup>th</sup> May, 2020	Yes, quorum was present.	3	2	31st January, 2020	31st Janua 2020 to 1 May, 2020 = 104 days
	Nom	ination and	Remuneration Committee:-		
Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap betw any consecutive meetings number days*
-	Yes, quorum was present.	1		#	N.A.
	S	takeholders I	Relationship Committee:-		
Date(s) of Meeting (if any) of committee in the relevant quarter		Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	any consecutiv
	Yes, quorum was present.		<b>1</b>	1	N.A.
optional.  **to be filled in only for t		er meetings.	lit 3committee, for rest of the con		
		Subject		Compliance S / NA)	Status (Yes
Whether prior approval or	f audit committe	e obtained		Yes	

Henry God Bitestor.

Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee

Note:

- 1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- 2. If status is "No" details of non-compliance may be given here.

#### VI. Affirmations

- 1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulation 2015.
- 2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & Remuneration Committee
  - Stakeholders Relationship Committee
- The Committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.
- 4. The Meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This report and/or the report submitted in the previous quarter has been placed before Board of Directors. At comments/observations/advice of the board of directors may be mentioned here.

For Norplex Oak India Limited

Authorized Signatory

Director.

# NORPLEX-OAK INDIA LIMITED

Registered Office 31, Netaji Subhas Road, Kolkata 700001

CIN: 125209WB1983PLC036991

Email: norplexoak@gmail.com

Fax: 033 2248 0140 Phone: 033 6625 1500

1st October, 2020

The Secretary, The Calcutta Stock Exchange Ltd, 7. Lyons Range, Kolkata - 700001

Dear Sir,

#### Sub:- Compliance Report on Corporate Governance in Annexure I and Annexure III for the quarter and half year ended 30th September, 2020

In compliance with Regulation 27(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, we are enclosing herewith Compliance Report on Corporate Governance on quarterly basis for the quarter and half year ended 30th September, 2020 as prescribed in "Annexure -I" of the afore-mentioned Regulations.

Besides, we are also enclosing herewith "Annexure – III" for the half year ended 30th September, 2020 which is required to be submitted at the end of 6 months after the end of the financial year along with the Compliance Report of the second quarter.

Please take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,

For NORPLEX OAK INDIA LIMITED NORPLEX-OAK INDIA LTD

Authorized Signatoryctor.

Enclo: As above

#### Annexure - I

## Compliance Report on Corporate Governance for the quarter ended 30th September, 2020

- 1. Name of Listed Entity Norplex Oak India Limited
- 2. Quarter ending 30<sup>th</sup> September, 2020

I.	Comp	osition of	Board of Dir	ectors							
Title (I / Mrs.)	Name of the Director	PAN\$ & DIN	Category Of (Chai Rperson/ Executive/ Non-Execu Tive/Indep Endent/ Nominee)&	Initial Date Of Appt.	Date of Re- Appt.	Date Of Cess ation	Tenure	Date Of Birth	No. of Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Reg 17A(1)	No. of Indepen dent Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Proviso Reg 17A(1)]	No. of Memberships in Audit/ Stake holders Committee (s) including this lister entity [Refer Regulati 26(1) of Listing Regulati
Mr.	Hemant Goenka	DIN – 02138953	Non- Executive Director	30.04. 2008	-	-	N.A.	01-04-74	2	-	2
Mr.	Arun Mukherjee	DIN – 01626598	Non- Executive Independent Director	30.04. 2008	N.A		N.A	31-12-54	2	2	2
Ms.	Ankita Banerjee	DIN – 07276391	Non- Executive Independent Director	01.04. 2015	N.A	-	01.04.15 30.09.W	26 -10 - 89	2	2	2
			egular Chairpe					CEO	NO		
		Whether C	hairperson is re	elated to	the Man	aging L	Director or	CEO –	NO		



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		\$PAN of any director would not be displayed on the website of Stock Exchange
		& Category of directors means executive/non-executive/independent/Nominee. if a director fits into mor category write all categories separating them with hyphen.
		* to be filled only for Independent Director. Tenure would mean total period from which Independent di serving on Board of directors of the listed entity in continuity without any cooling off period.
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**Note:-** Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.



Name of the Committee Whether Regular chairperson appointed				Date of Appointment	Date Cessation	
1.	Audit Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	
			Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
			Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
2.	Nomination & Remuneration Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-,
			Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
			Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
3.	Stakeholders Relationship Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
			Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
			Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	

<sup>&</sup>amp; Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.

#### III. Meeting of the Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of Independent Directors Present*	Maximum gap betweel any two consecutive (in number of days)
15 <sup>th</sup> May , 2020	30 <sup>th</sup> July, 2020	Yes	3	2	15 <sup>th</sup> Ma 2020 to 30 July, 2020 = 75 days

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IV. Meetings of	Committees				
Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap betwee any two consecutive meetings number days*
		Audi	t Committee:-		
30 <sup>th</sup> July, 2020	Yes, quorum was present.	3	2	15 <sup>th</sup> May, 2020	15 <sup>th</sup> Ma 2020 to 3 July, 2020 = 75 days
=	Nom	ination and l	Remuneration Committee:-		(6)
Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap betwee any to consecutive meetings number days*
30 <sup>th</sup> July, 2020	Yes, quorum was present.	3	2	-	N.A.
	St	akeholders F	Relationship Committee:-		
Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap betwee any treestings number days*
30 <sup>th</sup> July, 2019	Yes, quorum was present.	3	2		N.A.
* This information has to be optional.  **to be filled in only for the			it 3committee, for rest of the com	mittees giving this inf	l formation is
	rty Transacti	ons	4		4-4
		Subject		Compliance Status (Yes / / NA)	
Whether prior approval of	audit committee	e obtained		Yes	
Whether shareholder appro	1 -late in a d fo	u matarial DDT		N.A.	

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Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee

N.A.

#### Note:

- 1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- 2. If status is "No" details of non-compliance may be given here.

#### **Affirmations**

- The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulation
- The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & Remuneration Committee
  - Stakeholders Relationship Committee
- The Committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.
- The Meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This report and/or the report submitted in the previous quarter has been placed before Board of Directors. At comments/observations/advice of the board of directors may be mentioned here.

For Norplex Oak India Limited

MORPLEX O GINOLIVETO

Director

Director.

#### ANNEXURE III

# Format to be submitted by listed entity at the end of 6 months after end of the financial year along – with the second quarter's report of next financial year

Affirmations						
Broad Heading	Regulation Number	Compliance Status (Yes / No / N.A) refer note below				
Copy of the Annual Report including Balance Sheet, P/L Account, Directors' Report, Corporate Governance Report, Business Responsibility Report displayed on website	46 (2)	N.A				
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes				
NPresence of Chairperson of the Nomination and Remuneration Committee at the Annual General Meeting	19(3)	Yes				
Presence of Chairperson of	20(3)	Yes				

MORPLEX.OAK INDIA LTD.

the Stakeholders		
Relationship Committee at the Annual General Meeting		
Whether "Corporate Governance Report' disclosed in Annual Report	34(3) read with Para C of Schedule V	Yes

#### Note:-

- 1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
- 2. If status is "No" details of non-compliance may be given here.

If the Listed Entity would like to provide any other information the same may be indicated here.

For Norplex Oak India Limited

Authorized Signatory Irector