

# NORPLEX-OAK INDIA LIMITED

Registered Office  
31, Netaji Subhas Road,  
Kolkata 700001  
CIN: L25209WB1983PLC036991  
Email: norplexoak@gmail.com  
Phone: 033 6625 1500 Fax: 033 2248 0140

1<sup>st</sup> January, 2020

The Secretary,  
The Calcutta Stock Exchange Ltd,  
7, Lyons Range,  
Kolkata – 700001

Dear Sir,

**Sub:- Compliance Report on Corporate Governance in Annexure I for the quarter and nine month ended 31<sup>st</sup> December, 2019**

In compliance with Regulation 27(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, we are enclosing herewith Compliance Report on Corporate Governance on quarterly basis for the quarter and nine months ended 31<sup>st</sup> December, 2019 as prescribed in “Annexure – I” of the afore-mentioned Regulations.

Please take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For NORPLEX OAK INDIA LIMITED

  
Director

Enclo: As above

  
Director.

**Annexure – I**

**Compliance Report on Corporate Governance for the quarter ended 31<sup>st</sup> December, 2019**

1. Name of Listed Entity – Norplex Oak India Limited
2. Quarter ending – 31<sup>st</sup> December, 2019

**I. Composition of Board of Directors**

Title (Mr./Mrs.)	Name of the Director	PAN \$ & DIN	Category Of (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)&	Initial Date Of Appt.	Date of Re-Appt.	Date Of Cessation	Tenure	Date Of Birth	No. of Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Reg 17A(1)]	No. of Independent Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Proviso Reg 17A(1)]	No. of Memberships in Audit/ Stake holders Committee (s) including this listed entity [Refer Regulation 26(1) of Listing Regulation]
Mr.	Hemant Goenka	DIN – 02138953	Non-Executive Director	30.04.2008	-	-	N.A.	01.04.74	2	-	2
Mr.	Arun Mukherjee	DIN – 01626598	Non-Executive Independent Director	30.04.2008	N.A	-	N.A	31.12.54	2	2	2
Ms.	Ankita Banerjee	DIN – 07276391	Non-Executive Independent Director	01.04.2015	N.A	-	01.04.15 31.12.19	26.10.85	2	2	2
		Whether Regular Chairperson appointed – YES									
		Whether Chairperson is related to the Managing Director or CEO – NO									
		<p>\$PAN of any director would not be displayed on the website of Stock Exchange</p> <p>&amp; Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent director is serving on Board of directors of the listed entity in continuity without any cooling off period.</p>									

**NORPLEX-OAK INDIA LTD.**  
*Hemant Goenka*  
**Director.**

**Note:-** Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.

NORPLEX-OAK INDIA LTD

*Harish G. G.*

Director,

Name of the Committee	Whether Regular chairperson appointed	Name of the Committee Members	Category (Chairperson/Executive/ Non-Executive/Independent/Nominee)s	Date of Appointment	Date Cessation
1. Audit Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
2. Nomination & Remuneration Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
3. Stakeholders Relationship Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	

& Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.

### III. Meeting of the Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met *	Number of Directors present*	Number of Independent Directors Present*	Maximum gap between any two consecutive (in number of days)
28 <sup>th</sup> June, 2019 26 <sup>th</sup> July, 2019	25 <sup>th</sup> October, 2019	Yes	3	2	26 <sup>th</sup> Jul 2019 to 25 <sup>th</sup> October, 2019 = 91 days

**COMPLEX OAK INDIA LTD.**

*Hemant Goenka*  
**Director**

\* to be filled in only for the current quarter meetings

#### IV. Meetings of Committees

Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
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##### Audit Committee:-

25 <sup>th</sup> October, 2019	Yes, quorum was present.	3	2	26 <sup>th</sup> July, 2019	26 <sup>th</sup> July, 2019 to 25 <sup>th</sup> October, 2019 = 91 days
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##### Nomination and Remuneration Committee:-

Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
-	Yes, quorum was present.	-	-	-	N.A.

##### Stakeholders Relationship Committee:-

Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
-	Yes, quorum was present.	-	-	-	N.A.

\* This information has to be mandatorily be given for audit 3committee, for rest of the committees giving this information is optional.

\*\*to be filled in only for the current quarter meetings.

#### V. Related Party Transactions

Subject	Compliance Status (Yes / No / NA)
Whether prior approval of audit committee obtained	Yes

BOBPLEX-OAK INDIA LTD.  
*Hemant K...*  
 Director



Whether shareholder approval obtained for material RPT	N.A.
Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	N.A.

**Note:**

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.

## VI. Affirmations

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulation 2015.
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & Remuneration Committee
  - c. Stakeholders Relationship Committee
3. The Committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.
4. The Meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This report and/or the report submitted in the previous quarter has been placed before Board of Directors. A comments/observations/advice of the board of directors may be mentioned here.

**For Norplex Oak India Limited**

*R. m. r. h. b.*  
NORFLEX OAK INDIA LTD.

**Authorized Signatory**

*Director,*

# NORPLEX-OAK INDIA LIMITED

Registered Office  
31, Netaji Subhas Road,  
Kolkata 700001  
CIN: L25209WB1983PLC036991  
Email: norplexoak@gmail.com  
Phone: 033 6625 1500 Fax: 033 2248 0140

2<sup>nd</sup> April, 2020

The Secretary,  
The Calcutta Stock Exchange Ltd,  
7, Lyons Range,  
Kolkata – 700001

Dear Sir,

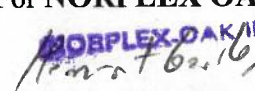
**Sub:- Compliance Report on Corporate Governance in Annexure I for the quarter 31<sup>st</sup> March, 2020**

In compliance with Regulation 27(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, we are enclosing herewith Compliance Report on Corporate Governance on quarterly basis for the quarter ended 31<sup>st</sup> March, 2020 as prescribed in “Annexure – I” of the aforementioned Regulations.

Please take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For **NORPLEX OAK INDIA LIMITED**

  
Authorized Signatory **Director.**

**Encl:** As above

**Annexure – I**

**Compliance Report on Corporate Governance for the quarter ended 31<sup>st</sup> March, 2020**

1. Name of Listed Entity – Norplex Oak India Limited
2. Quarter ending – 31<sup>st</sup> March, 2020

**I. Composition of Board of Directors**

Title (/ Mrs.)	Name of the Director	PAN \$ & DIN	Category Of (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)&	Initial Date Of Appt.	Date of Re-Appt.	Date Of Cessation	Tenure	Date Of Birth	No. of Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Reg 17A(1)]	No. of Independent Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Proviso Reg 17A(1)]	No. of Memberships in Audit/ Stake holders Committee (s) including this listed entity [Refer Regulation 26(1) of Listing Regulation]
Mr.	Hemant Goenka	DIN – 02138953	Non-Executive Director	30.04.2008	-	-	N.A.	01-01-70	2	-	2
Mr.	Arun Mukherjee	DIN – 01626598	Non-Executive Independent Director	30.04.2008	N.A	-	N.A	21-12-57	2	2	2
Ms.	Ankita Banerjee	DIN – 07276391	Non-Executive Independent Director	01.04.2015	N.A	-	01-01-15 31-03-20	26-10-87	2	2	2
		Whether Regular Chairperson appointed – YES									
		Whether Chairperson is related to the Managing Director or CEO – NO									

**NORPLEX-O** IN 1 X 24

*Hemant Goenka*  
**Director.**



		<p>\$PAN of any director would not be displayed on the website of Stock Exchange</p> <p>&amp; Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent Director has been serving on Board of directors of the listed entity in continuity without any cooling off period.</p>

**Note:-** Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.

NOBPLEX-OAK INDIA LTD.  
*Hemant G...*  
 Director.

Name of the Committee	Whether Regular chairperson appointed	Name of the Committee Members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)s	Date of Appointment	Date Cessation
1. Audit Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
2. Nomination & Remuneration Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
3. Stakeholders Relationship Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	

& Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.

### III. Meeting of the Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met *	Number of Directors present*	Number of Independent Directors Present*	Maximum gap between any two consecutive (in number of days)
25 <sup>th</sup> October, 2019	31 <sup>st</sup> January, 2020	Yes	3	2	25 <sup>th</sup> October 2019 to 31 <sup>st</sup> January, 2020 = 98 days

NOBLEPLEX OAK INDIA LTD.

*Hemant Goenka*

Director.

\* to be filled in only for the current quarter meetings

#### IV. Meetings of Committees

Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings number days*
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##### Audit Committee:-

31 <sup>st</sup> January, 2020	Yes, quorum was present.	3	2	25 <sup>th</sup> October, 2019	25 <sup>th</sup> October, 2019 to 31 <sup>st</sup> January, 2020 = 98 days
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##### Nomination and Remuneration Committee:-

Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings number days*
-	Yes, quorum was present.	-	-	-	N.A.

##### Stakeholders Relationship Committee:-

Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings number days*
-	Yes, quorum was present.	-	-	-	N.A.

\* This information has to be mandatorily be given for audit 3committee, for rest of the committees giving this information is optional.

\*\*to be filled in only for the current quarter meetings.

#### V. Related Party Transactions

Subject	Compliance Status (Yes / No / NA)
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	N.A.

NOBLEPLEX OAK INDIA LTD

*Handwritten Signature*  
Director.

Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	N.A.
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**Note:**

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.

**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulation 2015.
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & Remuneration Committee
  - c. Stakeholders Relationship Committee
3. The Committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.
4. The Meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here.

For Norplex Oak India Limited

 **NORPLEX OAK INDIA LTD.**

Authorized Signatory

**Director.**

# NORPLEX-OAK INDIA LIMITED

Registered Office  
31, Netaji Subhas Road,  
Kolkata 700001  
CIN: L25209WB1983PLC036991  
Email: norplexoak@gmail.com  
Phone: 033 6625 1500 Fax: 033 2248 0140

1<sup>st</sup> July, 2020

The Secretary,  
The Calcutta Stock Exchange Ltd,  
7, Lyons Range,  
Kolkata – 700001

Dear Sir,



**Sub:- Compliance Report on Corporate Governance in Annexure I for the quarter ended 30<sup>th</sup> June, 2020**

In compliance with Regulation 27(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, we are enclosing herewith Compliance Report on Corporate Governance on quarterly basis for the quarter ended 30<sup>th</sup> June, 2020 as prescribed in “Annexure – I” of the aforementioned Regulations.

Please take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For NORPLEX OAK LIMITED

  
Authorized Signatory  Director.

**Enclo:** As above



**Annexure – I**

**Compliance Report on Corporate Governance for the quarter ended 30<sup>th</sup> June, 2020**

1. Name of Listed Entity – Norplex Oak India Limited
2. Quarter ending – 30<sup>th</sup> June, 2020

**I. Composition of Board of Directors**

Title (/ Mrs.)	Name of the Director	PAN \$ & DIN	Category Of (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)*	Initial Date Of Appt.	Date of Re-Appt.	Date Of Cessation	Tenure	Date Of Birth	No. of Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Reg 17A(1)]	No. of Independent Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Proviso Reg 17A(1)]	No. of Memberships in Audit/ Stake holders Committee (s) including this listed entity [Refer Regulation 26(1) of Listing Regulation]
Mr.	Hemant Goenka	DIN – 02138953	Non-Executive Director	30.04.2008	-	-	N.A.	01.04.74	2	-	2
Mr.	Arun Mukherjee	DIN – 01626598	Non-Executive Independent Director	30.04.2008	N.A	-	N.A	31.12.54	2	2	2
Ms.	Ankita Banerjee	DIN – 07276391	Non-Executive Independent Director	01.04.2015	N.A	-	01.04.15 - 30.06.20	26.10.85	2	2	2
		Whether Regular Chairperson appointed – YES									
		Whether Chairperson is related to the Managing Director or CEO – NO									
		<p>\$PAN of any director would not be displayed on the website of Stock Exchange</p> <p>&amp; Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent Director is serving on Board of directors of the listed entity in continuity without any cooling off period.</p>									

NORPLEX-OAK INDIA LTD.

*Hemant Goenka*

Director,

**Note:-** Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement “same as previous quarter” may be given.

NORPLEX OIL INDUSTRIES LTD.

*Harish Chandra*  
Director.

Name of the Committee	Whether Regular chairperson appointed	Name of the Committee Members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)	Date of Appointment	Date Cessation
1. Audit Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
2. Nomination & Remuneration Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
3. Stakeholders Relationship Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	

& Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.

### III. Meeting of the Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met*	Number of Directors present*	Number of Independent Directors Present*	Maximum gap between any two consecutive (in number of days)
31 <sup>st</sup> January, 2020	15 <sup>th</sup> May, 2020	Yes	3	2	31 <sup>st</sup> January 2020 to 1 May, 2020 = 104 days

**NORPLEX-OAK INDIA LTD.**

*Hemant Goenka*

Director

\* to be filled in only for the current quarter meetings

#### IV. Meetings of Committees

Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings number days*
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##### Audit Committee:-

15 <sup>th</sup> May, 2020	Yes, quorum was present.	3	2	31 <sup>st</sup> January, 2020	31 <sup>st</sup> January 2020 to 15 <sup>th</sup> May, 2020 = 104 days
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##### Nomination and Remuneration Committee:-

Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings number days*
-	Yes, quorum was present.	-	-	-	N.A.

##### Stakeholders Relationship Committee:-

Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings number days*
-	Yes, quorum was present.	-	-	-	N.A.

\* This information has to be mandatorily be given for audit 3committee, for rest of the committees giving this information is optional.

\*\*to be filled in only for the current quarter meetings.

#### V. Related Party Transactions

Subject	Compliance Status (Yes / / NA)
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	N.A.

NOBLEX OAK INDIA LTD.

*Heer / Gurb*  
Director

Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	N.A.
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**Note:**

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.
2. If status is "No" details of non-compliance may be given here.

**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulation 2015.
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & Remuneration Committee
  - c. Stakeholders Relationship Committee
3. The Committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.
4. The Meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This report and/or the report submitted in the previous quarter has been placed before Board of Directors. All comments/observations/advice of the board of directors may be mentioned here.

For Norplex Oak India Limited

 NORPLEX OAK INDIA LTD

Authorized Signatory

**Director.**



# NORPLEX-OAK INDIA LIMITED

Registered Office  
31, Netaji Subhas Road,  
Kolkata 700001  
CIN: L25209WB1983PLC036991  
Email: norplexoak@gmail.com  
Phone: 033 6625 1500 Fax: 033 2248 0140

1<sup>st</sup> October, 2020

The Secretary,  
The Calcutta Stock Exchange Ltd,  
7, Lyons Range,  
Kolkata – 700001

Dear Sir,

**Sub:- Compliance Report on Corporate Governance in Annexure I and Annexure III for the quarter and half year ended 30<sup>th</sup> September, 2020**

In compliance with Regulation 27(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended, we are enclosing herewith Compliance Report on Corporate Governance on quarterly basis for the quarter and half year ended 30<sup>th</sup> September, 2020 as prescribed in “Annexure – I” of the afore-mentioned Regulations.

Besides, we are also enclosing herewith “Annexure – III” for the half year ended 30<sup>th</sup> September, 2020 which is required to be submitted at the end of 6 months after the end of the financial year along with the Compliance Report of the second quarter.

Please take the same on record and acknowledge receipt of the same.

Thanking you,

Yours faithfully,  
For NORPLEX OAK INDIA LIMITED

NORPLEX-OAK INDIA LTD.  
*Hemant K. Bora*

Authorized Signatory  
Director.

Encls: As above

**Annexure – I**

**Compliance Report on Corporate Governance for the quarter ended 30<sup>th</sup> September, 2020**

1. Name of Listed Entity – Norplex Oak India Limited
2. Quarter ending – 30<sup>th</sup> September, 2020

**I. Composition of Board of Directors**

Title (Mr./Mrs.)	Name of the Director	PAN \$ & DIN	Category Of (Chairperson/ Executive/ Non-Executive/ Independent/ Nominee)&	Initial Date Of Appt.	Date of Re-Appt.	Date Of Cessation	Tenure	Date Of Birth	No. of Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Reg 17A(1)]	No. of Independent Director Ships in Listed Entities Incl. This Listed Entity [In Reference To Proviso Reg 17A(1)]	No. of Memberships in Audit/ Stake holders Committee (s) including this listed entity [Refer Regulation 26(1) of Listing Regulation]
Mr.	Hemant Goenka	DIN – 02138953	Non-Executive Director	30.04.2008	-	-	N.A.	01-04-74	2	-	2
Mr.	Arun Mukherjee	DIN – 01626598	Non-Executive Independent Director	30.04.2008	N.A.	-	N.A.	31-12-54	2	2	2
Ms.	Ankita Banerjee	DIN – 07276391	Non-Executive Independent Director	01.04.2015	N.A.	-	01-04-15 30-09-20	26-10-89	2	2	2
		Whether Regular Chairperson appointed – YES									
		Whether Chairperson is related to the Managing Director or CEO – NO									

NOBPLEX-OAK INDIA LTD.

*Hemant Goenka*

Director.

		<p>\$PAN of any director would not be displayed on the website of Stock Exchange</p> <p>&amp; Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.</p> <p>* to be filled only for Independent Director. Tenure would mean total period from which Independent Director has been serving on Board of directors of the listed entity in continuity without any cooling off period.</p>

**Note:-** Information at Table I and II above need to be necessarily given in 1<sup>st</sup> quarter of each financial year. However, if there is no change of information in subsequent quarter(s) of that financial year, this information may not be given by Listed entity and instead a statement "same as previous quarter" may be given.

**NORPLEX OAK INDIA LTD**  
*Hemant Lamb*  
**Director.**

Name of the Committee	Whether Regular chairperson appointed	Name of the Committee Members	Category (Chairperson/Executive/Non-Executive/Independent/Nominee)s	Date of Appointment	Date Cessation
1. Audit Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
2. Nomination & Remuneration Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	
3. Stakeholders Relationship Committee	Yes	Mr. Hemant Goenka	Chairman - Non-Executive - Director	30.04.2008	-
		Mr. Arun Mukherjee	Non-Executive - Independent Director	30.04.2008	
		Ms. Ankita Banerjee	Non-Executive - Independent Director	01.04.2015	

& Category of directors means executive/non-executive/independent/Nominee. if a director fits into more than one category write all categories separating them with hyphen.

### III. Meeting of the Board of Directors

Date(s) of Meeting (if any) in the previous quarter	Date(s) of Meeting (if any) in the relevant quarter	Whether requirement of Quorum met *	Number of Directors present*	Number of Independent Directors Present*	Maximum gap between any two consecutive (in number of days)
15 <sup>th</sup> May , 2020	30 <sup>th</sup> July, 2020	Yes	3	2	15 <sup>th</sup> Ma 2020 to 30 July, 2020 = 75 days

**MORPLEX OAK INDIA LTD.**

*Hemant Goenka*  
**Director.**

\* to be filled in only for the current quarter meetings

#### IV. Meetings of Committees

Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
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##### Audit Committee:-

30 <sup>th</sup> July, 2020	Yes, quorum was present.	3	2	15 <sup>th</sup> May, 2020	15 <sup>th</sup> May, 2020 to 30 <sup>th</sup> July, 2020 = 75 days
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##### Nomination and Remuneration Committee:-

Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
30 <sup>th</sup> July, 2020	Yes, quorum was present.	3	2	-	N.A.

##### Stakeholders Relationship Committee:-

Date(s) of Meeting (if any) of committee in the relevant quarter	Whether requirement of Quorum met* (details)	Number of Directors present*	Number of Independent Directors Present*	Date(s) of meeting of the committee in the previous quarter	Maximum gap between any two consecutive meetings in number of days*
30 <sup>th</sup> July, 2019	Yes, quorum was present.	3	2	-	N.A.

\* This information has to be mandatorily be given for audit 3committee, for rest of the committees giving this information is optional.

\*\*to be filled in only for the current quarter meetings.

#### V. Related Party Transactions

Subject	Compliance Status (Yes / No / NA)
Whether prior approval of audit committee obtained	Yes
Whether shareholder approval obtained for material RPT	N.A.

**ORPLEX OAK INDIA LTD.**

*Monish Kumar*  
Director.



Whether details of RPT entered into pursuant to omnibus approval have been reviewed by the Audit Committee	N.A.
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**Note:**

1. In the column "Compliance Status", compliance or non-compliance may be indicated by Yes/No/N.A.. For example if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words "N.A." may be indicated.

2. If status is "No" details of non-compliance may be given here.

**VI. Affirmations**

1. The composition of Board of Directors is in terms of SEBI (Listing Obligations and Disclosure requirements) Regulation 2015.
2. The composition of the following committees is in terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015
  - a. Audit Committee
  - b. Nomination & Remuneration Committee
  - c. Stakeholders Relationship Committee
3. The Committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing Obligations and disclosure requirements) Regulations, 2015.
4. The Meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This report and/or the report submitted in the previous quarter has been placed before Board of Directors. Any comments/observations/advice of the board of directors may be mentioned here.

For Norplex Oak India Limited



Director

*Director.*

### ANNEXURE III



**Format to be submitted by listed entity at the end of 6 months after end of the financial year along – with the second quarter's report of next financial year**

Affirmations		
Broad Heading	Regulation Number	Compliance Status (Yes / No / N.A) <sup>refer</sup> note below
Copy of the Annual Report including Balance Sheet, P/L Account, Directors' Report, Corporate Governance Report, Business Responsibility Report displayed on website	46 (2)	N.A
Presence of Chairperson of Audit Committee at the Annual General Meeting	18(1)(d)	Yes
N Presence of Chairperson of the Nomination and Remuneration Committee at the Annual General Meeting	19(3)	Yes
Presence of Chairperson of	20(3)	Yes

**NORPLEX-OAK INDIA LTD.**

*Harish Kumar*

**Director,**

the Stakeholders Relationship Committee at the Annual General Meeting		
Whether “Corporate Governance Report” disclosed in Annual Report	34(3) read with Para C of Schedule V	Yes
<p><b>Note:-</b></p> <p>1. In the column “Compliance Status”, compliance or non-compliance may be indicated by Yes/No/N.A. For example, if the Board has been composed in accordance with the requirements of Listing Regulations, "Yes" may be indicated. Similarly, in case the Listed Entity has no related party transactions, the words “N.A.” may be indicated.</p> <p>2. If status is “No” details of non-compliance may be given here.</p> <p>If the Listed Entity would like to provide any other information the same may be indicated here.</p>		
<p><b>For Norplex Oak India Limited</b></p> <p>  <b>Authorized Signatory</b>  <b>Director</b></p>		